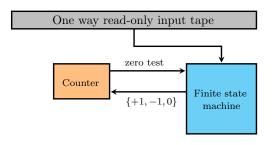
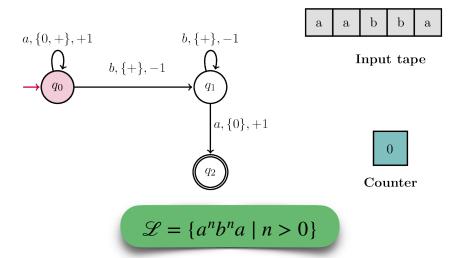
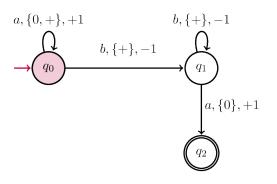


### Deterministic real-time one-counter automata (DROCA)



- Write to counter: Increment (+1), No change (0), Decrement (-1).
- Read from counter: zero (0) or positive (+).
- Counter-value is always non-negative.
- Transitions of the finite-state machine are deterministic.
- There are no  $\varepsilon$  transitions.



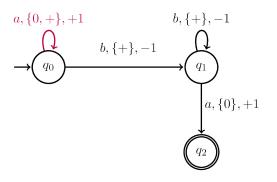




Input tape



Counter

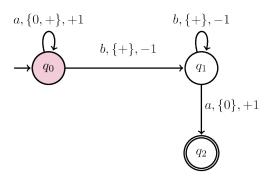


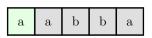


Input tape



Counter

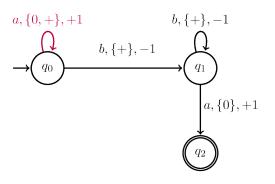


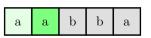


Input tape



Counter

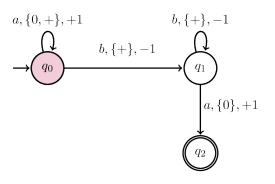


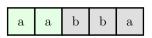


Input tape



Counter

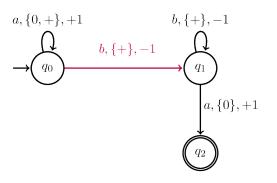


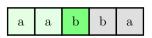


Input tape

2

Counter

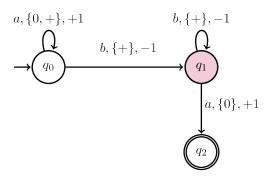


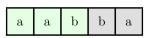


Input tape



Counter

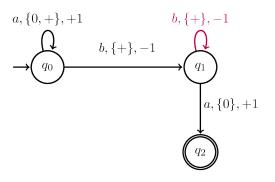




Input tape



Counter

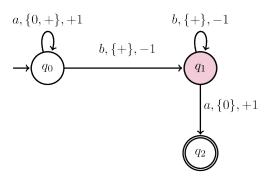


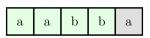


Input tape



Counter

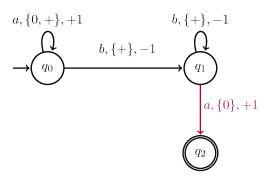


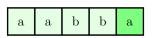


Input tape



Counter

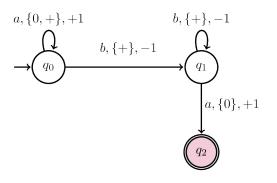


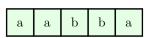


Input tape



Counter

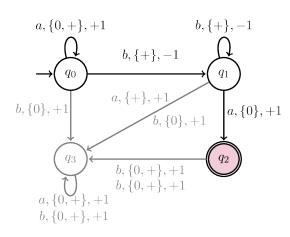


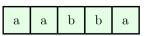


Input tape



Counter



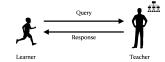


Input tape



Counter

# **Active Learning DROCAs**



(Gold, 1978) Inferring smallest DFA from a set of labelled samples is NP-complete.

(Angluin, 1987) Active learning of DFA in polynomial time.



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Inferring smallest DFA from a set of labelled samples is NP-complete.

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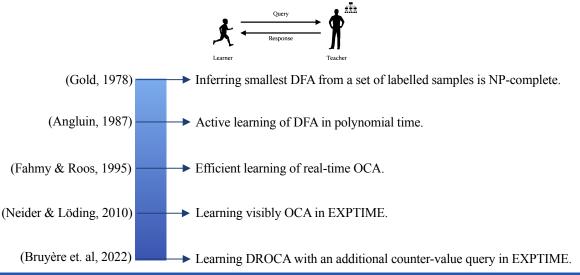
Active learning of DFA in polynomial time.

(Fahmy & Roos, 1995)

Efficient learning of real-time OCA.



► Inferring smallest DFA from a set of labelled samples is NP-complete. (Gold, 1978): (Angluin, 1987) Active learning of DFA in polynomial time. (Fahmy & Roos, 1995) ➤ Efficient learning of real-time OCA. (Neider & Löding, 2010) Learning visibly OCA in EXPTIME.



Prince Mathew TACAS 2025 IIT Goa

1. Running time of equivalence query — polynomial, but  $\mathcal{O}(n^{26})$ .

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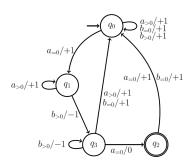
• In this talk, we address the first two bottlenecks.

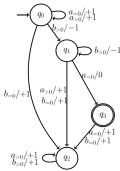
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- We propose *counter-synchronous* equivalence.
- Two DROCAs are counter-synchronous if they reach the same counter value on all words.





Counter-synchronous DROCAs recognising the language  $\{a^nb^na \mid n > 0\}$ .

# Counter-synchronous equivalence

### Theorem - Counter-synchronous equivalence

Given two DROCAs of size n (not counter-synchronous or not equivalent), there is an  $\mathcal{O}(n^6)$  time algorithm to find a word w such that either w is accepted by exactly one DROCA, or counter value of w is different on both DROCAs.

• For visibly OCAs, there is an  $\mathcal{O}(n^3)$  time algorithm to check equivalence.

# MinOCA

### **Types of queries**

### **Membership queries:**

Learner: "Is w in  $\mathcal{L}(\mathcal{A})$ ?" Teacher: "ves" or "no"

### **Counter-value queries:**

Learner: "What is the counter-value reached on reading w?"

Teacher: "counter-value reached on w"

### Minimal-equivalence queries:

Learner: "Does  $\mathcal B$  and  $\mathcal A$  recognise the same language?" Teacher: "yes" or "no & the smallest counter-example"

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Theorem - Polynomially many queries

MinOCA is in  $P^{NP}$  and queries the teacher polynomially many times.

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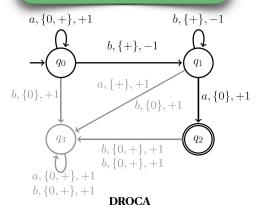
#### Theorem - Polynomially many queries

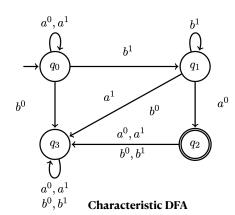
MinOCA is in  $P^{NP}$  and queries the teacher polynomially many times.

- MinOCA returns a minimal counter-synchronous DROCA.
- Our evaluations show that MinOCA outperforms the existing technique.

### **Characteristic DFA**

$$\mathcal{L} = \{a^n b^n a \mid n > 0\}$$





• The primary idea is to try and learn the characteristic DFA.

|               | _             | 8    | :           |
|---------------|---------------|------|-------------|
|               | Counter Value | Memb | Actions     |
| $\varepsilon$ | 0             | 0    | (0, +1, +1) |
| а             | 1             | 0    | (1,+1,-1)   |
| ab            | 0             | 0    | (0,+1,+1)   |
| aba           | 1             | 1    | (1,+1,+1)   |
| b             | 1             | 0    | (1,+1,+1)   |
| aa            | 2             | 0    | (1,+1,-1)   |
| abb           | 1             | 0    | (1,+1,+1)   |
| abaa          | 2             | 0    | (1,+1,+1)   |
| abab          | 2             | 0    | (1,+1,+1)   |

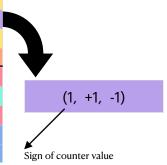
|      |               | 8    | :           |
|------|---------------|------|-------------|
|      | Counter Value | Memb | Actions     |
| ε    | 0             | 0    | (0, +1, +1) |
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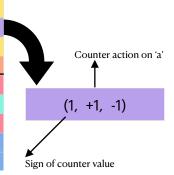
|      |               | ε    | :           |
|------|---------------|------|-------------|
|      | Counter Value | Memb | Actions     |
| ε    | 0             | 0    | (0, +1, +1) |
| а    | 1             | 0    | (1,+1,-1)   |
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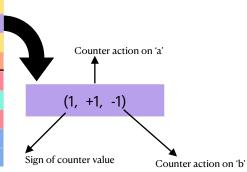
|      |               | ٤    | ;           |
|------|---------------|------|-------------|
|      | Counter Value | Memb | Actions     |
| ε    | 0             | 0    | (0, +1, +1) |
| а    | 1             | 0    | (1,+1,-1)   |
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| abaa | 2             | 0    | (1,+1,+1)   |
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|      |               | ٤    | ?           |
|------|---------------|------|-------------|
|      | Counter Value | Memb | Actions     |
| ε    | 0             | 0    | (0, +1, +1) |
| а    | 1             | 0    | (1,+1,-1)   |
| ab   | 0             | 0    | (0,+1,+1)   |
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|      |               | ٤    | ?           |
|------|---------------|------|-------------|
|      | Counter Value | Memb | Actions     |
| ε    | 0             | 0    | (0, +1, +1) |
| а    | 1             | 0    | (1,+1,-1)   |
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| abaa | 2             | 0    | (1,+1,+1)   |
| abab | 2             | 0    | (1,+1,+1)   |



 $\varepsilon$ 

 $\varepsilon$ 

|                            |               | ٤    | ?           |
|----------------------------|---------------|------|-------------|
|                            | Counter Value | Memb | Actions     |
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| а                          | 1             | 0    | (1,+1,-1)   |
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| aba                        | 1             | 1    | (1,+1,+1)   |
| b                          | 1             | 0    | (1,+1,+1)   |
| aa                         | 2             | 0    | (1,+1,-1)   |
| abb                        | 1             | 0    | (1,+1,+1)   |
| abaa                       | 2             | 0    | (1,+1,+1)   |
| abab                       | 2             | 0    | (1,+1,+1)   |

Color not present in the top part

Not 1-Closed

 A table is d-closed if each row in the bottom part with counter value ≤ d, is equal to at least one row in the top part.

|                            |               | $\epsilon$ |             |
|----------------------------|---------------|------------|-------------|
|                            | Counter Value | Memb       | Actions     |
| $\boldsymbol{\varepsilon}$ | 0             | 0          | (0, +1, +1) |
| а                          | 1             | 0          | (1,+1,-1)   |
| ab                         | 0             | 0          | (0,+1,+1)   |
| aba                        | 1             | 1          | (1,+1,+1)   |
| b                          | 1             | 0          | (1,+1,+1)   |
| aa                         | 2             | 0          | (1,+1,-1)   |
| abb                        | 1             | 0          | (1,+1,+1)   |
| abaa                       | 2             | 0          | (1,+1,+1)   |
| abab                       | 2             | 0          | (1,+1,+1)   |

Move it to the top part and add it's one letter extensions to the bottom part.

|                            |               | 8    | 3           |
|----------------------------|---------------|------|-------------|
|                            | Counter Value | Memb | Actions     |
| $\boldsymbol{\varepsilon}$ | 0             | 0    | (0, +1, +1) |
| а                          | 1             | 0    | (1,+1,-1)   |
| ab                         | 0             | 0    | (0,+1,+1)   |
| aba                        | 1             | 1    | (1,+1,+1)   |
| b                          | 1             | 0    | (1,+1,+1)   |
| aa                         | 2             | 0    | (1,+1,-1)   |
| abb                        | 1             | 0    | (1,+1,+1)   |
| abaa                       | 2             | 0    | (1,+1,+1)   |
| abab                       | 2             | 0    | (1,+1,+1)   |
| ba                         | 2             | 0    | (1,+1,+1)   |

Move it to the top part and add it's one letter extensions to the bottom part.

|                          |               |      | arepsilon   |  |
|--------------------------|---------------|------|-------------|--|
|                          | Counter Value | Memb | Actions     |  |
| $\boldsymbol{arepsilon}$ | 0             | 0    | (0, +1, +1) |  |
| а                        | 1             | 0    | (1,+1,-1)   |  |
| ab                       | 0             | 0    | (0,+1,+1)   |  |
| aba                      | 1             | 1    | (1,+1,+1)   |  |
| b                        | 1             | 0    | (1,+1,+1)   |  |
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| abb                      | 1             | 0    | (1,+1,+1)   |  |
| abaa                     | 2             | 0    | (1,+1,+1)   |  |
| abab                     | 2             | 0    | (1,+1,+1)   |  |
| ba                       | 2             | 0    | (1,+1,+1)   |  |
| bb                       | 1             | 0    | (1,+1,+1)   |  |

Move it to the top part and add it's one letter extensions to the bottom part.

|                          |               | $\varepsilon$ |             |
|--------------------------|---------------|---------------|-------------|
|                          | Counter Value | Memb          | Actions     |
| $\boldsymbol{arepsilon}$ | 0             | 0             | (0, +1, +1) |
| а                        | 1             | 0             | (1,+1,-1)   |
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| aba                      | 1             | 1             | (1,+1,+1)   |
| b                        | 1             | 0             | (1,+1,+1)   |
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| abb                      | 1             | 0             | (1,+1,+1)   |
| abaa                     | 2             | 0             | (1,+1,+1)   |
| abab                     | 2             | 0             | (1,+1,+1)   |
| ba                       | 2             | 0             | (1,+1,+1)   |
| bb                       | 1             | 0             | (1,+1,+1)   |

Move it to the top part and add it's one letter extensions to the bottom part.

1-Closed

|      | Counter Value | ٤    | 3           |
|------|---------------|------|-------------|
|      | Counter value | Memb | Actions     |
| ε    | 0             | 0    | (0, +1, +1) |
| а    | 1             | 0    | (1,+1,-1)   |
| ab   | 0             | 0    | (0,+1,+1)   |
| aba  | 1             | 1    | (1,+1,+1)   |
| b    | 1             | 0    | (1,+1,+1)   |
| aa   | 2             | 0    | (1,+1,-1)   |
| abb  | 1             | 0    | (1,+1,+1)   |
| abaa | 2             | 0    | (1,+1,+1)   |
| abab | 2             | 0    | (1,+1,+1)   |
| ba   | 2             | 0    | (1,+1,+1)   |
| bb   | 2             | 0    | (1,+1,+1)   |

arepsilon and ab have same color

But  $\varepsilon$ .a  $\neq$  ab.a

Add 'a' to the column

A table is d-consistent if equal rows with counter value
 ≤ d in the top part has equal extensions.

12/20

#### Not 0-Consistent

|      |               | ٤    |             |      | а         |
|------|---------------|------|-------------|------|-----------|
|      | Counter Value | Memb | Actions     | Memb | Actions   |
| ε    | 0             | 0    | (0, +1, +1) | 0    | (1,+1,-1) |
| а    | 1             | 0    | (1,+1,-1)   | 0    | (1,+1,-1) |
| ab   | 0             | 0    | (0,+1,+1)   | 1    | (1,+1,+1) |
| aba  | 1             | 1    | (1,+1,+1)   | 0    | (1,+1,+1) |
| b    | 1             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| aa   | 2             | 0    | (1,+1,-1)   | 0    | (1,+1,-1) |
| abb  | 1             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| abaa | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| abab | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| ba   | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| bb   | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |

|      |               | ٤    | $oldsymbol{arepsilon}$ |      | э         |
|------|---------------|------|------------------------|------|-----------|
|      | Counter Value | Memb | Actions                | Memb | Actions   |
| ε    | 0             | 0    | (0, +1, +1)            | 0    | (1,+1,-1) |
| а    | 1             | 0    | (1,+1,-1)              | 0    | (1,+1,-1) |
| ab   | 0             | 0    | (0,+1,+1)              | 1    | (0,+1,+1) |
| aba  | 1             | 1    | (1,+1,+1)              | 0    | (1,+1,+1) |
| b    | 1             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| aa   | 2             | 0    | (1,+1,-1)              | 0    | (1,+1,-1) |
| abb  | 1             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| abaa | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| abab | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| ba   | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| bb   | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |

### 1-Consistent

|      |               | ٤    | $oldsymbol{arepsilon}$ |      | э         |
|------|---------------|------|------------------------|------|-----------|
|      | Counter Value | Memb | Actions                | Memb | Actions   |
| ε    | 0             | 0    | (0, +1, +1)            | 0    | (1,+1,-1) |
| а    | 1             | 0    | (1,+1,-1)              | 0    | (1,+1,-1) |
| ab   | 0             | 0    | (0,+1,+1)              | 1    | (0,+1,+1) |
| aba  | 1             | 1    | (1,+1,+1)              | 0    | (1,+1,+1) |
| b    | 1             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| aa   | 2             | 0    | (1,+1,-1)              | 0    | (1,+1,-1) |
| abb  | 1             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| abaa | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| abab | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| ba   | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |
| bb   | 2             | 0    | (1,+1,+1)              | 0    | (1,+1,+1) |

### 1-Consistent

|      |               | ٤    | ε           |      | Э         |
|------|---------------|------|-------------|------|-----------|
|      | Counter Value | Memb | Actions     | Memb | Actions   |
| ε    | 0             | 0    | (0, +1, +1) | 0    | (1,+1,-1) |
| а    | 1             | 0    | (1,+1,-1)   | 0    | (1,+1,-1) |
| ab   | 0             | 0    | (0,+1,+1)   | 1    | (0,+1,+1) |
| aba  | 1             | 1    | (1,+1,+1)   | 0    | (1,+1,+1) |
| b    | 1             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| aa   | 2             | 0    | (1,+1,-1)   | 0    | (1,+1,-1) |
| abb  | 1             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| abaa | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| abab | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| ba   | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |
| bb   | 2             | 0    | (1,+1,+1)   | 0    | (1,+1,+1) |

$$\{a,b\}^*\!\to \{a^{\scriptscriptstyle 0},\,a^{\scriptscriptstyle 1},\,b^{\scriptscriptstyle 0},\,b^{\scriptscriptstyle 1}\}^*$$

12/20

1-Consistent

|      |               | $oldsymbol{arepsilon}$ |             | ć    | э         |
|------|---------------|------------------------|-------------|------|-----------|
|      | Counter Value | Memb                   | Actions     | Memb | Actions   |
| ε    | 0             | 0                      | (0, +1, +1) | 0    | (1,+1,-1) |
| а    | 1             | 0                      | (1,+1,-1)   | 0    | (1,+1,-1) |
| ab   | 0             | 0                      | (0,+1,+1)   | 1    | (0,+1,+1) |
| aba  | 1             | 1                      | (1,+1,+1)   | 0    | (1,+1,+1) |
| b    | 1             | 0                      | (1,+1,+1)   | 0    | (1,+1,+1) |
| aa   | 2             | 0                      | (1,+1,-1)   | 0    | (1,+1,-1) |
| abb  | 1             | 0                      | (1,+1,+1)   | 0    | (1,+1,+1) |
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$$\{a,b\}^*\!\to \{a^{\scriptscriptstyle 0},\,a^{\scriptscriptstyle 1},\,b^{\scriptscriptstyle 0},\,b^{\scriptscriptstyle 1}\}^*$$

$$a\;b\;a\to a^o\;b^{_1}\,a^o$$

1. Initialise the observation table with *Rows*=  $\{\varepsilon\}$ , *Columns*=  $\{\varepsilon\}$ , and d = 0.

|            | Counter | $\epsilon$ |         |
|------------|---------|------------|---------|
|            | Value   | Memb       | Actions |
| $\epsilon$ | 0       |            |         |

- 1. Initialise the observation table with *Rows*=  $\{\varepsilon\}$ , *Columns*=  $\{\varepsilon\}$ , and d=0.
- 2. Construct a d-closed and d-consistent observation table  $\mathbf{H}$  using membership & counter-value queries.

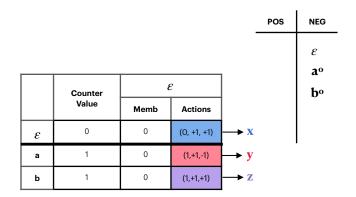
|            | Counter | ε    |             |
|------------|---------|------|-------------|
|            | Value   | Memb | Actions     |
| $\epsilon$ | 0       | 0    | (0, +1, +1) |
| а          | 1       | 0    | (1,+1,-1)   |
| b          | 1       | 0    | (1,+1,+1)   |

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- 3. Convert entries of **H** into the modified alphabet and create sets **POS** and **NEG**.

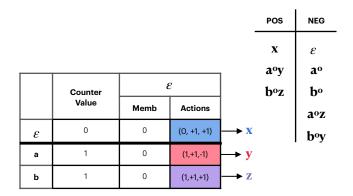
|            | Counter | $\epsilon$ |             |
|------------|---------|------------|-------------|
|            | Value   | Memb       | Actions     |
| $\epsilon$ | 0       | 0          | (0, +1, +1) |
| а          | 1       | 0          | (1,+1,-1)   |
| b          | 1       | 0          | (1,+1,+1)   |

| POS | NEG |
|-----|-----|
|     | ε   |
|     | ao  |
|     | bo  |
|     |     |
|     |     |
|     |     |
|     |     |

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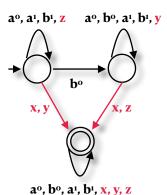
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|            | Counter | 8    | 3           |
|------------|---------|------|-------------|
|            | Value   | Memb | Actions     |
| $\epsilon$ | 0       | 0    | (0, +1, +1) |
| а          | 1       | 0    | (1,+1,-1)   |
| b          | 1       | 0    | (1,+1,+1)   |

| POS | NEG              |
|-----|------------------|
| X   | ε                |
| aoy | aº               |
| boz | b <sub>o</sub>   |
|     | aoz              |
|     | b <sub>o</sub> y |
|     |                  |



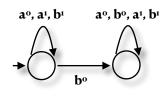
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|            | Counter | ε    |             |
|------------|---------|------|-------------|
|            | Value   | Memb | Actions     |
| $\epsilon$ | 0       | 0    | (0, +1, +1) |
| а          | 1       | 0    | (1,+1,-1)   |
| b          | 1       | 0    | (1,+1,+1)   |

|                                      | _                |  |
|--------------------------------------|------------------|--|
| POS                                  | NEG              | $a^{0}, a^{1}, b^{1}$ $a^{0}, b^{0}, a^{1}, b^{1}$ |
| x                                    | $\varepsilon$    |  |
| a <sup>o</sup> y<br>b <sup>o</sup> z | a <sup>o</sup>   | $b^{\circ}$  |
| $\mathbf{b}^{\mathbf{o}}\mathbf{z}$  | b <sub>o</sub>   |  |
|                                      | aoz              |  |
|                                      | b <sub>o</sub> y |  |
|                                      |                  | \ /  |

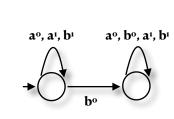
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- 5. Convert this characteristic DFA to an OCA A over  $\Sigma$  and ask equivalence query.

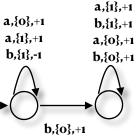
|   | Counter<br>Value | ε    |             |  |
|---|------------------|------|-------------|--|
|   |                  | Memb | Actions     |  |
| ε | 0                | 0    | (0, +1, +1) |  |
| а | 1                | 0    | (1,+1,-1)   |  |
| b | 1                | 0    | (1,+1,+1)   |  |



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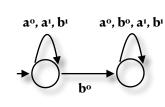
|   | Counter<br>Value | ε    |             |
|---|------------------|------|-------------|
|   |                  | Memb | Actions     |
| ε | 0                | 0    | (0, +1, +1) |
| а | 1                | 0    | (1,+1,-1)   |
| b | 1                | 0    | (1,+1,+1)   |

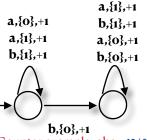




- 1. Initialise the observation table with *Rows*=  $\{\varepsilon\}$ , *Columns*=  $\{\varepsilon\}$ , and d=0.
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- 6. If the teacher returns a counter example, then add all its prefixes to *Rows*, increment *d* & repeat steps 2-5.

|   | Counter<br>Value | ε    |             |  |  |
|---|------------------|------|-------------|--|--|
|   |                  | Memb | Actions     |  |  |
| ε | 0                | 0    | (0, +1, +1) |  |  |
| а | 1                | 0    | (1,+1,-1)   |  |  |
| ь | 1                | 0    | (1.+1.+1)   |  |  |



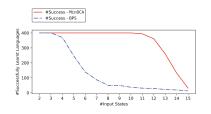


Counter example: aba 13/20

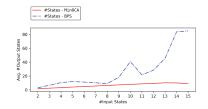
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- 6. If the teacher returns a counter example, then add all its prefixes to *Rows*, increment *d* & repeat steps 2-5.
- 7. Else stop and output **A**.

# **Experimental Results**

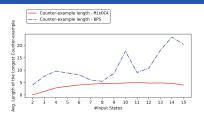
# Comparison with existing method BPS (Bruyère et al., 2022)



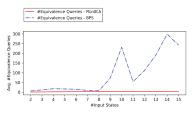
Number of successfully learnt languages (out of 400)



Average number of states in the learnt DROCA

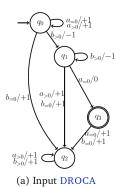


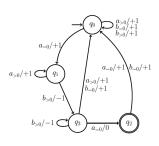
Average length of the longest counter-example



Average number of equivalence queries used for learning

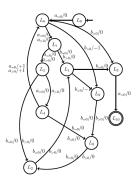
# Example-1: DROCAs learnt by minOCA and BPS





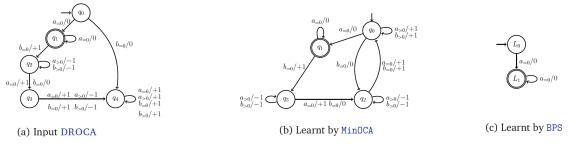
(b) Learnt by MinOCA

The input DROCA recognises the language  $\{a^nb^na \mid n>0\}$ .



(c) Learnt by BPS

# Example-2: DROCAs learnt by minOCA and BPS



The input DROCA recognises the language  $\{w \in \{a,b\}^* \mid w \text{ does not contain a } b\}$ .

# **Summary**

- Active learning of DROCAs.
  - Three types of queries used: membership, counter value query, and minimal-equivalence query.
  - Existing algorithms for active learning of DROCAs need exponentially many queries.
- We proposed an active learning algorithm (MinOCA).
  - MinOCA is in  $P^{NP}$  queries the teacher polynomial number of times.
  - Learns a minimal counter-synchronous DROCA.
  - Learns DROCAs of size up to size 10 in 5 minutes better than existing technique.

#### **Future Work**

- Major bottleneck for practical applications is the equivalence check of DROCAs.
  - Can we improve this?
- Bottleneck for using MinOCA is finding the minimal separating DFA.
  - We can have a faster algorithm, if there is a better way to find the separating DFA.
- Does there exists a polynomial time algorithm for learning DROCAs theoretically?

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- Does there exists a polynomial time algorithm for learning DROCAs theoretically? Yes.

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- Does there exists a polynomial time algorithm for learning DROCAs theoretically?

Learning Deterministic One-Counter Automata in Polynomial Time https://arxiv.org/abs/2503.04525 LICS 2025 (to appear)

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Thank You!

